

PATENT APPLICATION Docket No: 10209.353

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of	f	)
	Morinda, Inc.	)
Serial No.:	09/997,588	RECEIVED
Filed:	November 29, 2001	) OCT 0 8 2002
For:	REDUCING CELLULAR DAMAGE IN THE HUMAN BODY	) TECH CENTER 1600/2900
Examiner:	Patricia A. Patten	)

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which

the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each nonenglish reference, if any, are also enclosed.

# Statement of Relevance of References Listed <u>Unaccompanied by English Translation</u> Under 37 C.F.R. § 1.98(a)(3)

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

Dated this <u>23</u> day of September, 2002.

Respectfully submitted,

Michael Krieger
Attorney or Applicant
Registration No. 35,232

KIRTON & McCONKIE 1800 Eagle Gate Tower 60 East South Temple Salt Lake City, Utah 84111 Telephone: (801) 328-3600 Facsimile: (801) 328-1707



PATENT APPLICATION Docket No: 10209.353

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of		) RECEIVED
	Morinda, Inc.	) 661 08 2002
Serial No.:	09/997,588	) TECH CENTER 1600/2900
Filed:	November 29, 2001	) [501.05]
For:	REDUCING CELLULAR DAMAGE IN THE HUMAN BODY	) ) )
Examiner:	Patricia A. Patten	)

## CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence and the documents listed below are being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents, Washington, **P**<sub>1</sub>C. 20231, on September 23, 2002.

Michael F. Krieger Attorney for Applicant Registration No. 35,232

Transmitted: Transmittal of Information Disclosure Statement

Statement Under 37 CFR 1.97(c) Accompanying Information Disclosure Statement

Information Disclosure Statement

Form PTO-1449 Listing of All References Legible Copies of All Listed References

Postcard

MFK/CLJ:lah 644131.1

TRANSMITTAL OF (Un	Docket No. 10209.353		
In Re Application Of: M	orinda, Inc. OCT 0 1 2002		
Serial No.	Filling Date	Examiner	Group Art Unit
09/997,588	November 29, 2001	Patricia A. Patten	1651
Title: REDUCING CELLULAR	DAMAGE IN THE HUMAN BO	ODY	RECEIVED
			OCT 08 2002
	Paym (Only complete if Applicant elects t	ent of Fee to pay the fee set forth in 37 CFR 1	TECH CENTER 1600 2900
as described below  Charge the Credit any Charge an  Certificate of T  I certify that this do deposit account is being States Patent and Trade  (Date)  Typed or Printed N	nmissioner is hereby authorized v. A duplicate copy of this sheet amount of overpayment.  Ty additional fee required.  Transmission by Facsimile*  Coument and authorization to charge gracsimile transmitted to the United mark Office (Fax. No.  Signature  Tame of Person Signing Certificate  Only be used if paying by  Apriliare  35,232	Certificate of Maili  Certify that this docume on 5201. 23, 2002 first class mail under 37 C.F. Assistant Commissionel for 20231.  Signature of Person  Michael	ng by First Class Mail  nt and fee is being deposited with the U.S. Postal Service as F.R. 1.8 and is addressed to the property Patents, Washington, D.C.  Mailing Correspondence  R. Krieger  Person Mailing Correspondence
cc:			

## STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING Docket No. 10209.353 INFORMATION DISCLOSURE STATEMENT In Re Application Of: Morinda, Inc. 002 i 0 100 Group Art Unit Examiner Serial No. 1651 09/997,588 Patricia A. Patten Invention: REDUCING CELLULAR DAMAGE IN THE HUMAN BODY RECEIVED OCT 08 2002 TO THE ASSISTANT COMMISSIONER FOR PATENTS: TECH CENTER 1600/2900 This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application. Check applicable statement herebelow: Statement Under 37 CFR 1.97(e)(1) 🗵 Each item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Statement Under 37 CFR 1.97(e)(2) ☐ No item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. Dated: Sept. 23, 2002 Michael F. Krieger **Attorney for Applicant** Attorney Registration No. 35,232 I certify that this document is being deposited on KIRTON & McCONKIE タイ・2 > 2のフ with the U.S. Postal Service as first 1800 Eagle Gate Tower class mail under 37 C.F.R. 1.8 and is addressed to the **60 East South Temple** Assistant Commissioner for Patents, Washington, D.C.

CC:

Salt Lake City, Utah 84111 Telephone: (801) 321-4814

20231.

of Person Mailing Correspondence

Michael F. Krieger

Typed or Printed Name of Person Mailing Correspondence

TRA	NSMITTA	Docket No. 10209.353				
In Re	Application	Of: Morinda, Inc.	2002			
	Serial No. 09/997,588	Filing Date November 29, 20	001	Examiner Patricia A. Patten	Group Art Unit 1651	
Title: REDU	JCING CEL	LULAR DAMAGE IN THE H	IUMAN BODY	Y	RECEIVED	
		Ass	Addres istant Commiss Washington,	ioner for Patents	TECH CENTER 1600/2900	
			37 CFR <sup>2</sup>	l.97(b)		
<ol> <li>□</li> <li>2. ☒</li> </ol>	of a nation CFR 1.49 merits, wh	nation Disclosure Statement and application; within three material application; within three material application and international application disclosure Statement and application, or the date of	onths of the ontion; or before  37 CFR 2	date of entry of the national ethe mailing date of a full factor of the national factor of	al stage as set forth in 37 irst Office Action on the three months of the filing	
		nal application; or after the ast but before the mailing dat	_	of a first Office Action o	on the merits, whichever	
	1.	a Final Action under 37	7 CFR 1.113,	or		
	2. a Notice of Allowance under 37 CFR 1.311,					
	w	nichever occurs first.				
	Also subn	nitted herewith is:				
	X ac	ertification as specified in 37 (	CFR 1.97(e);			
		OR				
		fee set forth in 37 CFR 1.1 ler 37 CFR 1.97(c).	17(p) for subr	nission of an Information	Disclosure Statement	

1651

ATTY.DOCKET NO. SERIAL NO. 10209.353 09/997,588

INFORMATION DISCLOSURE APPLICANTS

CITATION Morinda, Inc.

FILING DATE GROUP

## U.S. PATENT DOCUMENTS

November 29, 2001

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	<u>NAME</u>	CLASS	SUBCLASS	FILING DATE
A1	6,299,925	10/09/01	Xiong et al.	426	597	04/29/99
A2	6,254,913	07/03/01	Wadsworth et al.	426	481	08/27/99
A3	6,214,351	04/10/01	Wadsworth et al.	424	195.1	08/27/99
A4	5,288,491	02/22/94	Moniz	424	195.1	09/24/99
A5						
A6						IVED
A7					RECE	
A8					OCT 08	3 2002
						ER 1600 2900
					TECH CENT	-W 10001-

#### **FOREIGN PATENT DOCUMENTS**

*EXAMINER <u>INITIAL</u>	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLA YES	NOITA ON
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#### **OTHER DOCUMENTS**

(Including Author (if any), Title, Pertinent Pages, Date and Place of Publication)

#### \*EXAMINER INITIAL

#### DOCUMENT CITATION

Dittmar, Alexandra. "Morinda citrifolia L.- Use in Indigenous Samoan Medicine." Pgs. 77-90. 1993. Journal of Herbs, Spices & Medicinal Plants.

Sang, Shengmin, et al. "Flavonol Glycosides and Novel Iridoid Glycoside from the Leaves of Morinda citrifolia." Pgs. 4478-4481. 2001. Journal of Agricultural and Food Chemistry.

Wang, Mingfu. "Chemical Components of sage (Salvia officinalis L.), thyme (Thymus vulgaris L.) and noni (Morinda citrifolia) and their antioxidant and anticancer activities." Pg. 13. 2000. Rutgers State University Diss. Abstra. Int., B.

EXAMINER	DATE CONSIDERED			
* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in				

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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant(s).